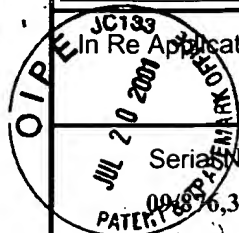


#2/11-1504/CO  
06-21-01

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
14701



In Re Application Of: Syuuichi KARIYAKAZKI

Serial No.  
004876,396

Filing Date  
June 7, 2001

Examiner  
Unassigned

Group Art Unit  
Unassigned

Title: SEMICONDUCTOR DEVICE

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
**14701**

In Re: Application Of: **Syuuichi KARIYAKAZKI**

Serial No.  
**09/876,396**

Filing Date  
**June 7, 2001**

Examiner  
**Unassigned**

Group Art Unit  
**Unassigned**

Title: **SEMICONDUCTOR DEVICE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

on \_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on **July 17, 2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Janet Grossman*  
Signature of Person Mailing Correspondence

**Janet Grossman**

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

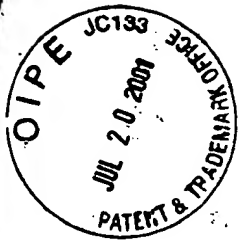
Dated: **July 17, 2001**

*Signature*

**Paul J. Esatto, Jr., Reg. No. 30,749**  
**SCULLY, SCOTT, MURPHY & PRESSER**  
400 Garden City Plaza  
Garden City, NY 11530  
(516) 742-4343

PJE:ahs

CC:



**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

<b>Applicant:</b>	<b>Syuuichi KARIYAZAKI</b>	<b>Docket:</b>	<b>14701</b>
<b>Serial No:</b>	<b>09/876,396</b>	<b>Examiner:</b>	<b>Unassigned</b>
<b>Filed:</b>	<b>June 7, 2001</b>	<b>Group Art Unit:</b>	<b>Unassigned</b>
<b>For:</b>	<b>SEMICONDUCTOR DEVICE</b>	<b>Dated:</b>	<b>July 17, 2001</b>

Assistant Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following reference, which is also listed on the attached Form PTO-1449, be made of record in the above-identified case.


1. Japanese Laid-Open Publication No. 9-69568, dated March 11, 1997, together with an English-language Abstract.

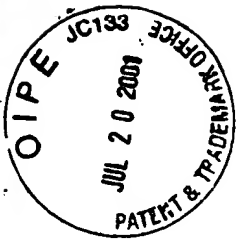
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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on July 17, 2001.

Dated: July 17, 2001

  
Janet Grossman



Applicant is submitting a copy of the above-cited reference. The relevance of the above-identified reference has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

Paul J. Esatto, Jr.  
Registration No.: 30,749

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